

ON ZEROS OF THE ALEXANDER POLYNOMIAL OF AN ALTERNATING KNOT

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ABSTRACT. We prove that for any zero α of the Alexander polynomial of a two-bridge knot, $-3 < \operatorname{Re}(\alpha) < 6$. Furthermore, for a large class of two-bridge knots we prove $-1 < \operatorname{Re}(\alpha)$.

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1. INTRODUCTION

In 2002 Jim Hoste made the following conjecture based on his extensive computer experiment:

Conjecture 1. (J. Hoste, 2002) Let K be an alternating knot and $\Delta_K(t)$ be its Alexander polynomial. Let α be a zero of $\Delta_K(t)$. Then $\operatorname{Re}(\alpha) > -1$.

This conjecture is known to be true for some classes of alternating knots.

- 1) If K is a special alternating knot, then all zeros of its Alexander polynomial lie on a unit circle ([M2],[L],[T]), and $\Delta_K(-1) \neq 0$, so Conjecture 1 holds.
- 2) If α is a real zero of the Alexander polynomial $\Delta_K(t)$ of an alternating knot K , then $\alpha > 0$, since the coefficients of the Alexander polynomial of an alternating knot have alternating signs ([C],[M1]). Therefore, if all zeros are real, then K satisfies Conjecture 1.
- 3) Any knot K with $\deg \Delta_K(t) = 2$ satisfies $-1 < \operatorname{Re}(\alpha) < 3$. Any alternating knot K with $\deg \Delta_K(t) = 4$ satisfies Conjecture 1.

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The problem of finding a lower or upper bound of the real part of zeros of the Alexander polynomial is reduced to a problem of showing the stability of the matrix associated to a Seifert matrix U of a knot. Then we apply a well known Lyapunov theorem on the stability of matrices. This approach, described in detail in section 2 below, is particularly successful for two-bridge knots. A two-bridge knot $K = K(r)$ is identified by a rational number r . We use an even negative continued fraction expansion $r = [2a_1, 2a_2, \dots, 2a_m]$ to construct a knot diagram $\Gamma(K(r))$, a Seifert surface F and its Seifert matrix U .

Throughout the paper by a two-bridge knot we will mean a two-bridge knot or a two-component two-bridge link, and its Alexander polynomial is defined by $\Delta_{K(r)} = \det(Ut - U^T)$ (see [BZ]).

In this paper we prove the following theorems:

Theorem 1. Let $K(r)$ be a two-bridge knot, $\Delta_K(t)$ be its Alexander polynomial and α be a zero of $\Delta_K(t)$. Then

$$-3 < \operatorname{Re}(\alpha) < 6.$$

Theorem 2. Let $K(r)$ be a two-bridge knot, $r = [2a_1, 2a_2, \dots, 2a_m]$. If $a_i a_{i+1} < 0$ for $i = 1, 2, \dots, m-1$, then all zeros are real, hence the conjecture holds.

Theorem 3. Let $K(r)$ be a two-bridge knot, $r = [2a_1, 2a_2, \dots, 2a_m]$. If among a_1, \dots, a_m there are no two consecutive 1 or -1 (namely, $a_i a_{i+1} \neq 1$ for $i = 1, \dots, m-1$), then the conjecture holds. If moreover $|a_i| > 1$ for $i = 1, 2, \dots, m$, then $-1 < \operatorname{Re} \alpha < 3$.

It is known that $K(r)$ is fibered if and only if $|a_j| = 1$ for all j .

Theorem 4. Let $K(r)$ be a fibered two-bridge knot with

$$r = \left[\underbrace{2, \dots, 2}_{k_1}, \underbrace{-2, \dots, -2}_{k_2}, \dots, \underbrace{(-1)^{m-1}2, \dots, (-1)^{m-1}2}_{k_m} \right].$$

If $k_j = 1$ or 2 for all j , then the conjecture holds.

Theorem 5. Let $K(r)$ be a two-bridge knot, $r = r(m, c) = [2c, -2c, \dots, (-1)^{m-1}2c]$, $c > 0$, $m \geq 1$. Then all zeros of $\Delta_{K(r)}$ satisfy inequality:

$$\left(\frac{\sqrt{1+c^2}-1}{c} \right)^2 < \alpha < \left(\frac{\sqrt{1+c^2}+1}{c} \right)^2.$$

For non-alternating knots there are no such bounds.

Example 1. Let $\Delta_K(t) = 1 + at - (2a+1)t^2 + at^3 + t^4$, $a > 0$. Since $\Delta_K(-(a+1)) < 0$, there is a zero α of $\Delta_K(t)$ such that $\operatorname{Re}(\alpha) < -a - 1$. K is not alternating.

Example 2. Let $\Delta_K(t) = 1 - 2at + (4a-1)t^2 - 2at^3 + t^4$, $a \geq 4$. Then $\Delta_K(a) < 0$ and hence, there exists a zero α such that $\alpha > a$. K is not alternating. In fact, if K is alternating, then K is fibered and since $\deg \Delta_K(t) = 4$, K has at most 8 crossings. However, such an alternating knot (including non-prime alternating knots) does not exist in the table if $a \geq 4$ (see [BZ]).

2. STABILITY OF MATRICES AND LYAPUNOV THEOREM

Let K be an alternating knot (or link) and $\Delta_K(t) = c_0 + c_1t + c_2t^2 + \dots + c_nt^n$, $c_n \neq 0$ be its Alexander polynomial. Let A be a companion matrix of $\Delta_K(t)$ i.e. $\Delta_K(t) = c_n \det(tE - A)$. The eigenvalues of A are the zeros of $\Delta_K(t)$. We have

$$\operatorname{Re}(\alpha) > -1 \iff \operatorname{Re}(-(1 + \alpha)) < 0.$$

Let $\alpha_1, \alpha_2, \dots, \alpha_n$ be all zeros of $\Delta_K(t)$ (= all eigenvalues of A). Then it is easy to see that $-(1 + \alpha_1), -(1 + \alpha_2), \dots, -(1 + \alpha_n)$, are eigenvalues of $-(E + A)$. To prove that all eigenvalues of a matrix have negative real parts, we apply the Lyapunov theorem:

Let M be a real $n \times n$ matrix. Consider a linear vector differential equation

$$\dot{\mathbf{x}} = M\mathbf{x}.$$

It is a known theorem in ODE that all solutions $\mathbf{x}(t) \in \mathbb{R}^n$ of it are stable, namely $\mathbf{x}(t) \rightarrow 0$ as $t \rightarrow \infty$, if and only if all eigenvalues of M have negative real parts. In this case M is called stable.

Theorem (Lyapunov). [G] All eigenvalues of M have negative real parts if and only if there exists a symmetric positive definite matrix V such that

$$VM + M^TV = -W, \text{ where } W \text{ is positive definite.}$$

Hence K satisfies Conjecture 1 if there exists a positive definite matrix V such that

$$(2.1) \quad V(E + A) + (E + A^T)V = W \text{ is positive definite.}$$

Similarly to (2.1), all zeros of $\Delta_K(t)$ satisfy $-k < \operatorname{Re}(\alpha)$ if and only if $-(kE + A)$ is stable, i.e. there exists a positive definite matrix V such that

$$V(kE + A) + (kE + A^T)V = W \text{ is positive definite.}$$

Further, all zeros of $\Delta_K(t)$ satisfy $\operatorname{Re}(\alpha) < q$ if and only if $A - qE$ is stable, i.e. there exists a positive definite matrix V such that

$$V(qE - A) + (qE - A^T)V = W \text{ is positive definite.}$$

To prove that a matrix is positive definite we use the following lemma.

Lemma 1. (Positivity Lemma)

$$\text{Let } N = \begin{bmatrix} a_{11} & a_{12} & & & \\ a_{21} & a_{22} & a_{23} & & \\ & \ddots & \ddots & \ddots & \\ & & \ddots & \ddots & a_{n-1,n} \\ & & & a_{n,n-1} & a_{n,n} \end{bmatrix} \text{ be a real symmetric matrix.}$$

Suppose that for $1 \leq j \leq n$

- (i) $a_{j,j} > 0$, $a_{j,j-1}, a_{j,j+1} \neq 0$, and all non-specified entries are 0.
- (ii) $a_{j,j} \geq |a_{j,j-1}| + |a_{j,j+1}|$,
- (iii) there exists i such that $a_{i,i} > |a_{i,i-1}| + |a_{i,i+1}|$.

Then N is positive definite.

The proof is by induction.

3. TWO-BRIDGE KNOTS

Let $K(r)$, $0 < r = \beta/\alpha < 1$, $0 < \beta < \alpha$, be a two-bridge knot or a (two-component) two-bridge link of type (α, β) . We can assume one of α and β is even. Consider an even (negative) continued fraction expansion of r :

$$r = \beta/\alpha = \frac{1}{2a_1 - \frac{1}{2a_2 - \frac{1}{\ddots - \frac{1}{2a_m}}}} = [2a_1, 2a_2, \dots, 2a_m].$$

This expansion is unique. We obtain from it a knot or a link diagram $\Gamma(K(r))$ of $K(r)$. (see Fig.1)

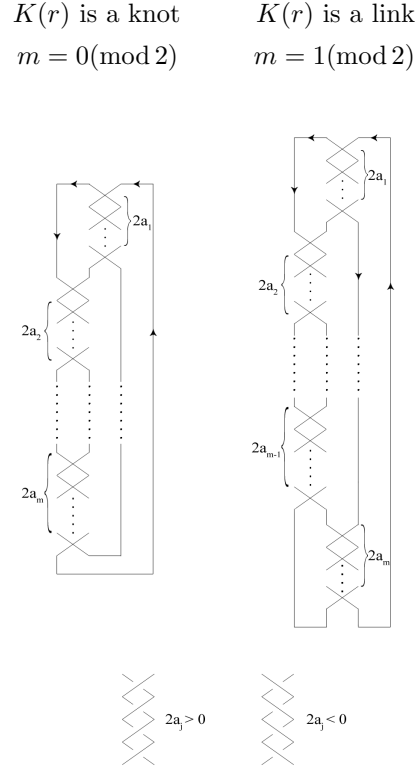


Figure 1.

The following facts are well known:

- (1) $K(r)$ is special alternating if and only if a_1, a_2, \dots, a_m are either all positive or all negative.
- (2) $K(r)$ is fibered if and only if $|a_j| = 1$ for all j .
- (3) $\Gamma(K(r))$ is an alternating diagram if and only if $a_j a_{j+1} < 0$ for $j = 1, 2, \dots, m-1$.
- (4) $\Gamma(K(r))$ gives a minimal genus Seifert surface F for $K(r)$ (see Fig.2).

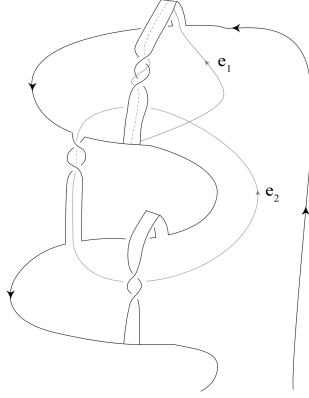


Figure 2. Seifert surface F .

We use this Seifert surface to calculate a Seifert matrix $U = (u_{ij})$ of K , $u_{ij} = lk(e_i^\#, e_j)$, $i, j = 1, \dots, m$. For the fragment of F with only two bands with (half)twists $2a_1$ and $2a_2$ we have

$$\begin{aligned} lk(e_1^\#, e_1) &= a_1, & lk(e_1^\#, e_2) &= 0, \\ lk(e_2^\#, e_1) &= -1, & lk(e_2^\#, e_2) &= a_2, \end{aligned}$$

and in general, it is not difficult to see that for a two-bridge knot $K = [2a_1, 2a_2, \dots, 2a_m]$ a Seifert matrix corresponding to the surface F is:

$$(3.1) \quad U = \begin{bmatrix} a_1 & 0 & & & \\ -1 & a_2 & 1 & & \\ & 0 & a_3 & 0 & \\ & & -1 & a_4 & 1 \\ & & & \ddots & \\ & & & & -1 & a_m \end{bmatrix} \quad \text{or} \quad \begin{bmatrix} a_1 & 0 & & & \\ -1 & a_2 & 1 & & \\ & 0 & a_3 & 0 & \\ & & -1 & a_4 & 1 \\ & & & \ddots & \\ & & & & 0 & a_m \end{bmatrix}$$

(depending on m being even or odd, respectively), where all non-specified entries are 0. The Alexander polynomial of K is $\Delta_K(t) = \det(tU - U^T)$. So $A = U^{-1}U^T$

is a companion matrix for $\Delta_K(t)$. We have

$$(3.2) \quad U^{-1} = \begin{bmatrix} \frac{1}{a_1} & & & & 0 \\ \frac{1}{a_1 a_2} & \frac{1}{a_2} & -\frac{1}{a_2 a_3} & & \\ & \frac{1}{a_3} & & & \\ & \frac{1}{a_3 a_4} & \frac{1}{a_4} & -\frac{1}{a_4 a_5} & \\ 0 & & & \ddots & \end{bmatrix}$$

and $U^{-1}U^T =$

$$(3.3) \quad = \begin{bmatrix} 1 & -\frac{1}{a_1} & 0 & & \dots & & \\ \frac{1}{a_2} & 1 - \frac{1}{a_1 a_2} - \frac{1}{a_2 a_3} & -\frac{1}{a_2} & \frac{1}{a_2 a_3} & 0 & \dots & \\ 0 & \frac{1}{a_3} & 1 & -\frac{1}{a_3} & 0 & 0 & 0 \dots \\ 0 & \frac{1}{a_3 a_4} & \frac{1}{a_4} & 1 - \frac{1}{a_3 a_4} - \frac{1}{a_4 a_5} & -\frac{1}{a_4} & \frac{1}{a_4 a_5} & 0 \dots \\ 0 & 0 & 0 & \frac{1}{a_5} & 1 & -\frac{1}{a_5} & 0 \dots \\ & & & \dots & & & \end{bmatrix}$$

The last row of A is $[0, \dots, 0, \frac{1}{a_{m-1}a_m}, \frac{1}{a_m}, 1 - \frac{1}{a_{m-1}a_m}]$ if m is even, and $[0, \dots, 0, \frac{1}{a_m}, 1]$ if m is odd.

$A = U^{-1}U^T$ is a companion matrix for the Alexander polynomial of the two-bridge knot $K(r)$, where $r = [2a_1, 2a_2, \dots, 2a_m]$.

4. THEOREM 1: LOWER AND UPPER BOUNDS ON THE REAL PART OF ZEROS FOR TWO-BRIDGE KNOTS

In this section we prove the following theorem:

Theorem 1. If α is a zero of the Alexander polynomial of a two-bridge knot, then

$$-3 < \operatorname{Re}(\alpha) < 6.$$

Proof. a) To show that $\operatorname{Re}(\alpha) > -k$ we prove that $-(kE + A)$ is stable. Taking $V = E$, it is enough to show that $A_0 = (kE + A) + (kE + A^T) = 2kE + A + A^T$ is positive definite. Now, A_0 is of the form

$$A_0 = \begin{bmatrix} 2k+2 & b_1 & & & & & & \\ & b_1 & c_1 & b_2 & d_1 & & & \\ & & b_2 & 2k+2 & b_3 & & & \\ & & d_1 & b_3 & c_2 & b_4 & d_2 & \\ & & & & b_4 & 2k+2 & b_5 & \\ & & & d_2 & b_5 & c_3 & b_6 & d_3 \\ & & & & & b_6 & 2k+2 & b_7 \\ & & & & & & & \ddots \end{bmatrix}$$

where $l = \lfloor \frac{m}{2} \rfloor$,

$$b_j = -\frac{1}{a_j} + \frac{1}{a_{j+1}}, \quad j = 1, \dots, m-1,$$

$$c_j = (2k+2) - \frac{2}{a_{2j-1}a_{2j}} - \frac{2}{a_{2j}a_{2j+1}}, \quad j = 1, \dots, l-1,$$

$$c_l = (2k+2) - \frac{2}{a_{2l-1}a_{2l}} \quad \text{for } m \text{ even},$$

$$c_l = (2k+2) - \frac{2}{a_{2l-1}a_{2l}} - \frac{2}{a_{2l}a_{2l+1}} \quad \text{for } m \text{ odd}.$$

$$d_j = \frac{1}{a_{2j}a_{2j+1}} + \frac{1}{a_{2j+1}a_{2j+2}}, \quad j = 1, \dots, l-1.$$

$$\text{Let } P = \begin{bmatrix} 1 & & & & & & & \\ -\frac{b_1}{2k+2} & 1 & -\frac{b_2}{2k+2} & & & & & \\ & & 1 & & & & & \\ & & -\frac{b_3}{2k+2} & 1 & -\frac{b_4}{2k+2} & & & \\ & & & & 1 & & & \\ & & & & & & & \ddots \end{bmatrix}$$

Then

$$PA_0P^T = \begin{bmatrix} 2k+2 & & & & & & \\ & \alpha_1 & 0 & \beta_1 & & & \\ & 0 & 2k+2 & 0 & & & \\ & \beta_1 & 0 & \alpha_2 & 0 & \beta_2 & \\ & & & 0 & 2k+2 & 0 & \\ & & & \beta_2 & 0 & \ddots & \\ & & & \dots & & & \end{bmatrix}$$

$$\approx \begin{bmatrix} 2k+2 & & & 0 \\ & 2k+2 & & \\ & & \ddots & \\ 0 & & & 2k+2 \end{bmatrix} \oplus \begin{bmatrix} \alpha_1 & \beta_1 & & 0 \\ \beta_1 & \alpha_2 & \beta_2 & \\ \beta_2 & \alpha_3 & \beta_3 & \\ & \ddots & \ddots & \ddots \end{bmatrix}$$

(denote the second matrix by A_{00}),

where

$$\begin{aligned}\alpha_j &= -\frac{b_{2j-1}^2}{2k+2} + c_j - \frac{b_{2j}^2}{2k+2}, \quad j = 1, \dots, l-1, \\ \alpha_l &= \begin{cases} -\frac{b_{2l-1}^2}{2k+2} + c_l & m \text{ is even} \\ -\frac{b_{2l-1}^2}{2k+2} + c_l - \frac{b_{2l}^2}{2k+2} & m \text{ is odd} \end{cases} \\ \beta_j &= d_j - \frac{b_{2j}b_{2j+1}}{2k+2}, \quad j = 1, \dots, l-1,\end{aligned}$$

We show (i) $\alpha_j > 0$, (ii) $\alpha_j \geq |\beta_{j-1}| + |\beta_j|$, (iii) there exists i such that $\alpha_i > |\beta_{i-1}| + |\beta_i|$. Then A_{00} is positive definite.

Let $k = 3$. Then

$$\begin{aligned}\alpha_j &= 8 - \frac{2}{a_{2j-1}a_{2j}} - \frac{2}{a_{2j}a_{2j+1}} - \frac{1}{8} \left(\frac{-1}{a_{2j-1}} + \frac{1}{a_{2j}} \right)^2 - \frac{1}{8} \left(\frac{-1}{a_{2j}} + \frac{1}{a_{2j+1}} \right)^2 \\ &= 8 - \frac{12}{8} \left(\frac{1}{a_{2j-1}a_{2j}} + \frac{1}{a_{2j}a_{2j+1}} \right) - \frac{1}{8} \left(\frac{1}{a_{2j-1}} + \frac{1}{a_{2j}} \right)^2 - \frac{1}{8} \left(\frac{1}{a_{2j}} + \frac{1}{a_{2j+1}} \right)^2.\end{aligned}$$

Since $|a_j| \geq 1$ for all j , $\left| \frac{1}{a_j} + \frac{1}{a_{j+1}} \right| \leq 2$ and $\left| \frac{1}{a_{2j-1}a_{2j}} + \frac{1}{a_{2j}a_{2j+1}} \right| \leq 2$

and hence $\alpha_j \geq 8 - \frac{3}{2} \cdot 2 - \frac{1}{8} \cdot 4 - \frac{1}{8} \cdot 4 = 4$.

$$\begin{aligned}\text{On the other hand, } \beta_{j-1} &= d_{j-1} - \frac{b_{2j-2}b_{2j-1}}{8} \\ &= \frac{1}{a_{2j-2}a_{2j-1}} + \frac{1}{a_{2j-1}a_{2j}} - \frac{1}{8} \left(-\frac{1}{a_{2j-2}} + \frac{1}{a_{2j-1}} \right) \left(-\frac{1}{a_{2j-1}} + \frac{1}{a_{2j}} \right) \\ &= \frac{7}{8} \left(\frac{1}{a_{2j-2}a_{2j-1}} + \frac{1}{a_{2j-1}a_{2j}} \right) + \frac{1}{8} \left(\frac{1}{a_{2j-2}a_{2j}} + \frac{1}{a_{2j-1}^2} \right).\end{aligned}$$

Since $|a_j| \geq 1$, $|\beta_{j-1}| \leq \frac{7}{8} \cdot 2 + \frac{1}{8} \cdot 2 = 2$.

Similarly $|\beta_j| \leq 2$. Thus $\alpha_j \geq |\beta_{j-1}| + |\beta_j|$ and $\alpha_1 > |\beta_1|$. If $\beta_j = 0$ then $\alpha_{j+1} > |\beta_{j+1}|$. This proves the left inequality.

b) To prove that $\text{Re}(\alpha) < q$ it is enough to show that $B_0 = (qE - A) + (qE - A^T) = 2qE - (A + A^T)$ is positive definite. B_0 is of the form

$$B_0 = \begin{bmatrix} 2q-2 & -b_1 & & & & & & \\ -b_1 & e_1 & -b_2 & -d_1 & & & & \\ & -b_2 & 2q-2 & -b_3 & & & & \\ & -d_1 & -b_3 & e_2 & -b_4 & -d_2 & & \\ & & & -b_4 & 2q-2 & -b_5 & & \\ & & & -d_2 & -b_5 & e_3 & -b_6 & -d_3 \\ & & & & & & & \ddots \\ & & & & & & & & \ddots \end{bmatrix}$$

where $e_j = 2q - 2 + \frac{2}{a_{2j-1}a_{2j}} + \frac{2}{a_{2j}a_{2j+1}}$, $j = 1, \dots, l-1$,

$e_l = 2q - 2 + \frac{2}{a_{2l-1}a_{2l}} + \frac{2}{a_{2l}a_{2l+1}}$, if m is odd,

$e_l = 2q - 2 + \frac{2}{a_{2l-1}a_{2l}}$ if m is even,

($l = \lceil \frac{m}{2} \rceil$, as before.)

Using

$$Q = \begin{bmatrix} 1 & & & & \\ \frac{b_1}{2q-2} & 1 & \frac{b_2}{2q-2} & & \\ & & 1 & & \\ & \frac{b_3}{2q-2} & & 1 & \frac{b_4}{2q-2} \\ & & & & 1 & \ddots \end{bmatrix}$$

we obtain

$$QB_0Q^T = \begin{bmatrix} 2q-2 & & 0 \\ & \ddots & \\ 0 & & 2q-2 \end{bmatrix} \oplus \begin{bmatrix} \gamma_1 & \delta_1 & & \\ \delta_1 & \gamma_2 & \delta_2 & \\ & \delta_2 & \gamma_3 & \delta_3 \\ & & & \ddots \end{bmatrix},$$

where $\gamma_j = e_j - \frac{b_{2j-1}^2}{2q-2} - \frac{b_{2j}^2}{2q-2}$, $j = 1, \dots, l$ for m odd,

and γ_l is replaced by $\gamma_l = e_l - \frac{b_{2l-1}^2}{2q-2}$ for m even,

$\delta_j = -d_j - \frac{b_{2j}b_{2j+1}}{2q-2}$, $j = 1, \dots, l-1$.

Let $q = 6$. Then

$$\begin{aligned} \gamma_j &= 10 + \frac{2}{a_{2j-1}a_{2j}} + \frac{2}{a_{2j}a_{2j+1}} - \frac{1}{10} \left(-\frac{1}{a_{2j-1}} + \frac{1}{a_{2j}} \right)^2 - \frac{1}{10} \left(-\frac{1}{a_{2j}} + \frac{1}{a_{2j+1}} \right)^2 \\ &\geq 10 - 2 - 2 - \frac{1}{10} \cdot 4 - \frac{1}{10} \cdot 4 = 5.2, \text{ since } \left| -\frac{1}{a_k} + \frac{1}{a_{k+1}} \right| \leq 2. \text{ While } \delta_{j-1} = \\ &= -\frac{1}{a_{2j-2}a_{2j-1}} - \frac{1}{a_{2j-1}a_{2j}} - \frac{1}{10} \left(-\frac{1}{a_{2j-2}} + \frac{1}{a_{2j-1}} \right) \cdot \left(-\frac{1}{a_{2j-1}} + \frac{1}{a_{2j}} \right) \text{ and hence} \\ |\delta_{j-1}| &\leq \left| \frac{1}{a_{2j-2}a_{2j-1}} \right| + \left| \frac{1}{a_{2j-1}a_{2j}} \right| + \frac{1}{10} \left| \frac{-1}{a_{2j-2}} + \frac{1}{a_{2j-1}} \right| \cdot \left| \frac{-1}{a_{2j-1}} + \frac{1}{a_{2j}} \right| \leq 1 + 1 + \\ &\frac{1}{10} \cdot 2 \cdot 2 = 2.4. \text{ Also } |\delta_j| \leq 2.4 \text{ and thus } \gamma_j > |\delta_{j-1}| + |\delta_j|. \text{ This proves the right} \\ &\text{inequality.} \quad \square \end{aligned}$$

Remark 1. 6 is the best integer upper bound. For the proof see Remark 2 in section 8.

5. THEOREM 2: THE CASE OF REAL ZEROS

Theorem 2. If $a_j a_{j+1} < 0$, then all zeros of $\Delta_K(t)$ are real and positive.

Proof. We show that $\Delta_K(t)$ has a symmetric companion matrix.

Let $r = [2a_1, -2a_2, 2a_3, \dots, (-1)^{m-1}2a_m]$, where $a_j > 0$. Then the Seifert matrix U is of the form

$$U = \begin{bmatrix} a_1 & 0 & & & \\ -1 & -a_2 & 1 & & \\ & 0 & a_3 & 0 & \\ & & -1 & -a_4 & 1 \\ & & & & a_5 \\ & & & & & \ddots \end{bmatrix}$$

Now

$$Ut - U^T = \begin{bmatrix} a_1(t-1) & 1 & & & \\ -t & -a_2(t-1) & t & & \\ & -1 & a_3(t-1) & 1 & \\ & & & \ddots & \\ & & & & \ddots \end{bmatrix}$$

We apply a series of transformations that don't change the zeros of the determinant of the matrix. First, multiply -1 on all even rows to get

$$\begin{bmatrix} a_1(t-1) & 1 & & & \\ t & a_2(t-1) & -t & & \\ & -1 & a_3(t-1) & 1 & \\ & & & \ddots & \\ & & & & \ddots \end{bmatrix}$$

Then multiply $\frac{1}{\sqrt{a_1}}$ on the 1-st row and column, $\frac{1}{\sqrt{a_2}}$ on the 2-nd row and column, and so on, to get

$$M = \begin{bmatrix} t-1 & \frac{1}{\sqrt{a_1 a_2}} & & & \\ \frac{t}{\sqrt{a_1 a_2}} & t-1 & \frac{-t}{\sqrt{a_2 a_3}} & & \\ & \frac{-1}{\sqrt{a_2 a_3}} & t-1 & \frac{1}{\sqrt{a_3 a_4}} & \\ & & & \ddots & \\ & & & & \ddots \end{bmatrix}$$

with $\det(M) = \frac{1}{a_1 a_2 \cdots a_m} \det(Ut - U^t)$. Now eliminate t from the off-diagonal line as follows: multiply $-\frac{1}{\sqrt{a_1 a_2}}$ on the 1-st row and add it to the 2-nd row, multiply $\frac{1}{\sqrt{a_2 a_3}}$ on the 3-rd row and add it to the second row, multiply $-\frac{1}{\sqrt{a_3 a_4}}$ on the 3-rd row and add it to the 5-th row, etc, i.e. multiply M by matrix P from the left:

$$P = \begin{bmatrix} 1 & 0 & & & \\ -\frac{1}{\sqrt{a_1 a_2}} & 1 & \frac{1}{\sqrt{a_2 a_3}} & & \\ & 0 & 1 & 0 & \\ & & -\frac{1}{\sqrt{a_3 a_4}} & 1 & \frac{1}{\sqrt{a_4 a_5}} \\ & & & 0 & 1 \\ & & & & \ddots \end{bmatrix}$$

Then $PM =$

$$\begin{bmatrix} t-1 & \frac{1}{\sqrt{a_1 a_2}} & 0 & 0 & & & \\ \frac{1}{\sqrt{a_1 a_2}} & (t-1 - \frac{1}{a_1 a_2} - \frac{1}{a_2 a_3}) & \frac{-1}{\sqrt{a_2 a_3}} & \frac{1}{\sqrt{a_2 a_3} \sqrt{a_3 a_4}} & 0 & & \\ 0 & \frac{-1}{\sqrt{a_2 a_3}} & t-1 & \frac{1}{\sqrt{a_3 a_4}} & 0 & 0 & \\ 0 & \frac{1}{\sqrt{a_2 a_3} \sqrt{a_3 a_4}} & \frac{1}{\sqrt{a_3 a_4}} & (t-1 - \frac{1}{a_3 a_4} - \frac{1}{a_4 a_5}) & \frac{-1}{\sqrt{a_4 a_5}} & \frac{1}{\sqrt{a_4 a_5} \sqrt{a_5 a_6}} & \\ & 0 & 0 & \frac{-1}{\sqrt{a_4 a_5}} & t-1 & \frac{1}{\sqrt{a_5 a_6}} & \\ & & & & & & \ddots \end{bmatrix}$$

Since $PM = tE - A$, A is a companion matrix of $\Delta_K(t)$ and it is symmetric. So all its eigenvalues are real, and hence positive. \square

6. THEOREM 3: THE CASE $a_i a_{i+1} \neq 1$

Theorem 3. Let $r = [2\varepsilon_1 a_1, 2\varepsilon_2 a_2, \dots, 2\varepsilon_m a_m]$, where $a_i > 0$, $\varepsilon_i = \pm 1$. If we don't have $a_i = a_{i+1} = 1$ and $\varepsilon_i = \varepsilon_{i+1}$, then the zeros of $\Delta_{K(r)}$ satisfy inequality:

$$-1 < \operatorname{Re}(\alpha).$$

If, moreover, $a_j > 1$ for all j , then $\operatorname{Re}(\alpha) < 3$.

Proof. We find a positive definite (symmetric) matrix V such that $V(E + A) + (E + A^T)V = W$ is positive definite. Let V be a diagonal matrix with elements a_1, a_2, \dots, a_m . Then multiplying $(E + A)$ by V from the left is multiplying the i -th row of $(E + A)$ by a_i , $i = 1, \dots, m$. Let $l = [\frac{m}{2}]$. Define $\varepsilon_{ij} = \varepsilon_i \varepsilon_j$.

By (3.3) we have $E + A =$

$$= \begin{bmatrix} 2 & -\frac{\varepsilon_1}{a_1} & 0 & & \dots & & \\ \frac{\varepsilon_2}{a_2} & 2 - \frac{\varepsilon_{12}}{a_1 a_2} - \frac{\varepsilon_{23}}{a_2 a_3} & -\frac{\varepsilon_2}{a_2} & \frac{\varepsilon_{23}}{a_2 a_3} & 0 & \dots & \\ 0 & \frac{\varepsilon_3}{a_3} & 2 & -\frac{\varepsilon_3}{a_3} & 0 & 0 & 0 \dots \\ 0 & \frac{\varepsilon_{34}}{a_3 a_4} & \frac{\varepsilon_4}{a_4} & 2 - \frac{\varepsilon_{34}}{a_3 a_4} - \frac{\varepsilon_{45}}{a_4 a_5} & -\frac{\varepsilon_4}{a_4} & \frac{\varepsilon_{45}}{a_4 a_5} & 0 \dots \\ 0 & 0 & 0 & \frac{\varepsilon_5}{a_5} & 2 & -\frac{\varepsilon_5}{a_5} & 0 \dots \\ & & & \dots & & & \end{bmatrix}$$

where the last row is $(0, \dots, 0, \frac{\varepsilon_m}{a_m}, 2)$ if m is odd,
and $(0, \dots, 0, \frac{\varepsilon_{m-1,m}}{a_{m-1} a_m}, \frac{\varepsilon_m}{a_m}, 2 - \frac{\varepsilon_{m-1,m}}{a_{m-1} a_m},)$ if m is even.

Therefore $V(E + A) =$

$$= \begin{bmatrix} 2a_1 & -\varepsilon_1 & 0 & & \dots & & & \\ \varepsilon_2 & 2a_2 - \frac{\varepsilon_{12}}{a_1} - \frac{\varepsilon_{23}}{a_3} & -\varepsilon_2 & \frac{\varepsilon_{23}}{a_3} & & 0 & \dots & \\ 0 & \varepsilon_3 & 2a_3 & -\varepsilon_3 & & 0 & 0 & 0 \dots \\ 0 & \frac{\varepsilon_{34}}{a_3} & \varepsilon_4 & 2a_4 - \frac{\varepsilon_{34}}{a_3} - \frac{\varepsilon_{45}}{a_5} & -\varepsilon_4 & \frac{\varepsilon_{45}}{a_5} & 0 & \dots \\ 0 & 0 & 0 & \varepsilon_5 & 2a_5 & -\varepsilon_5 & 0 & \dots \\ & & & \dots & & & & \end{bmatrix}$$

where the last row is $(0, \dots, 0, \varepsilon_m, 2a_m)$ if m is odd, and $(0, \dots, 0, \varepsilon_m, 2a_m - \frac{\varepsilon_{m-1,m}}{a_{m-1}})$ if m is even. Further $W = V(E + A) + (E + A^T)V =$

$$\begin{bmatrix} 4a_1 & -\varepsilon_1 + \varepsilon_2 & 0 & & \dots & & & \\ -\varepsilon_1 + \varepsilon_2 & 4a_2 - \frac{2\varepsilon_{12}}{a_1} - \frac{2\varepsilon_{23}}{a_3} & -\varepsilon_2 + \varepsilon_3 & \frac{\varepsilon_{23} + \varepsilon_{34}}{a_3} & & \dots & & \\ 0 & -\varepsilon_2 + \varepsilon_3 & 4a_3 & -\varepsilon_3 + \varepsilon_4 & 0 & 0 & & \dots \\ 0 & \frac{\varepsilon_{23} + \varepsilon_{34}}{a_3} & -\varepsilon_3 + \varepsilon_4 & 4a_4 - \frac{2\varepsilon_{34}}{a_3} - \frac{2\varepsilon_{45}}{a_5} & -\varepsilon_4 + \varepsilon_5 & \frac{\varepsilon_{45} + \varepsilon_{56}}{a_5} & & 0 \\ 0 & 0 & 0 & -\varepsilon_4 + \varepsilon_5 & 4a_5 & -\varepsilon_5 + \varepsilon_6 & & 0 \\ 0 & 0 & 0 & \frac{\varepsilon_{45} + \varepsilon_{56}}{a_5} & -\varepsilon_5 + \varepsilon_6 & 4a_6 - \frac{2\varepsilon_{56}}{a_5} - \frac{2\varepsilon_{67}}{a_7} & & \dots \\ & & & \dots & & & & \ddots \end{bmatrix}$$

The last row of W is $(0, \dots, 0, -\varepsilon_{m-1} + \varepsilon_m, 4a_m)$ if m is odd, and $(0, \dots, 0, \frac{\varepsilon_{m-2,m-1} + \varepsilon_{m-1,m}}{a_{m-1}}, -\varepsilon_{m-1} + \varepsilon_m, 4a_m - \frac{2\varepsilon_{m-1,m}}{a_{m-1}})$ if m is even.

We eliminate the elements $-\varepsilon_i + \varepsilon_{i+1}$: if i is odd, multiply the i -th row by $(\varepsilon_i - \varepsilon_{i+1})/4a_i$ and add to the $(i+1)$ -th row. If i is even, multiply the $(i+1)$ -th row by $(\varepsilon_i - \varepsilon_{i+1})/4a_{i+1}$ and add to the i -th row. Similarly for columns. In other words we consider the matrix PWP^T , where

$$P = \begin{bmatrix} 1 & & & & & & & \\ \frac{\varepsilon_1 - \varepsilon_2}{4a_1} & 1 & \frac{\varepsilon_2 - \varepsilon_3}{4a_3} & & & & & \\ & & 1 & & & & & \\ & & \frac{\varepsilon_3 - \varepsilon_4}{4a_3} & 1 & \frac{\varepsilon_4 - \varepsilon_5}{4a_5} & & & \\ & & & & 1 & & & \\ & & & & & \ddots & & \end{bmatrix}$$

We have

$$PWP^T = \begin{bmatrix} 4a_1 & 0 & 0 & & & & & \\ 0 & \alpha_2 & 0 & \beta_2 & 0 & 0 & & \\ 0 & 0 & 4a_3 & 0 & 0 & 0 & & \\ 0 & \beta_2 & 0 & \alpha_4 & 0 & \beta_4 & \dots & \\ & & & 0 & 4a_5 & 0 & \dots & \\ & & & \beta_4 & 0 & \alpha_6 & \dots & \\ & & & & & & \dots & \end{bmatrix} \sim$$

$$(6.1) \quad \sim \begin{bmatrix} 4a_1 & & & \\ & 4a_3 & & \\ & & \ddots & \\ & & & 4a_{2l+1} \end{bmatrix} \oplus \begin{bmatrix} \alpha_2 & \beta_2 & & & \\ \beta_2 & \alpha_4 & \beta_4 & 0 & \\ & \beta_4 & \alpha_6 & \beta_6 & \dots \\ & & & \ddots & \\ & & & & \beta_{2l-2} & \alpha_{2l} \end{bmatrix}$$

Here $l = \lceil \frac{m}{2} \rceil$, and for $i = 1, \dots, l-1$

$$(6.2) \quad \begin{aligned} \alpha_{2i} &= 4a_{2i} - \frac{2\varepsilon_{2i-1,2i}}{a_{2i-1}} - \frac{2\varepsilon_{2i,2i+1}}{a_{2i+1}} - \frac{(\varepsilon_{2i-1} - \varepsilon_{2i})^2}{4a_{2i-1}} - \frac{(\varepsilon_{2i} - \varepsilon_{2i+1})^2}{4a_{2i+1}} \\ &= 4a_{2i} - \frac{3}{2} \frac{\varepsilon_{2i-1,2i}}{a_{2i-1}} - \frac{3}{2} \frac{\varepsilon_{2i,2i+1}}{a_{2i+1}} - \frac{1}{2a_{2i-1}} - \frac{1}{2a_{2i+1}}, \\ \beta_{2i} &= \frac{\varepsilon_{2i,2i+1} + \varepsilon_{2i+1,2i+2}}{a_{2i+1}} - \frac{(\varepsilon_{2i} - \varepsilon_{2i+1})(\varepsilon_{2i+1} - \varepsilon_{2i+2})}{4a_{2i+1}} \\ &= \frac{3}{4} \frac{(\varepsilon_{2i,2i+1} + \varepsilon_{2i+1,2i+2})}{a_{2i+1}} + \frac{\varepsilon_{2i,2i+2} + 1}{4a_{2i+1}}, \\ \alpha_{2l} &= 4a_{2l} - \frac{3}{2} \frac{\varepsilon_{2l-1,2l}}{a_{2l-1}} - \frac{3}{2} \frac{\varepsilon_{2l,2l+1}}{a_{2l+1}} - \frac{1}{2a_{2l-1}} - \frac{1}{2a_{2l+1}}, \quad \text{if } m \text{ is odd,} \\ \alpha_{2l} &= 4a_{2l} - \frac{3}{2} \frac{\varepsilon_{2l-1,2l}}{a_{2l-1}} - \frac{1}{2a_{2l-1}}, \quad \text{if } m \text{ is even.} \end{aligned}$$

Since all $a_j \geq 1$, it is not difficult to check that if among $\frac{\varepsilon_{2i-1}}{a_{2i-1}}, \frac{\varepsilon_{2i}}{a_{2i}}, \frac{\varepsilon_{2i+1}}{a_{2i+1}}$, there are no two consecutive 1 or -1, then the conditions of Positivity Lemma are satisfied: (i) $\alpha_{2i} > 0$, (ii) $\alpha_{2i} \geq |\beta_{2i-2}| + |\beta_{2i}|$, $i = 2, \dots, l-1$, and (iii) $\alpha_2 > |\beta_2|$. If $\beta_{2j} = 0$ then $\alpha_{2j+2} > |\beta_{2j+2}|$. So the second matrix in (6.1) is positive definite and so is W .

The proof of inequality $\text{Re}(\alpha) < 3$ in the case $a_j > 1$ for $j = 1, 2, \dots, m$, is similar to the proof of Theorem 1 for $q = 3$. \square

7. THEOREM 4: THE CASE OF FIBERED KNOTS

Consider a fibered two-bridge knot $K(r)$ with $r = [2a_1, 2a_2, \dots, 2a_m]$

$$= [\underbrace{2, \dots, 2}_{k_1}, \underbrace{-2, \dots, -2}_{k_2}, \dots, \underbrace{(-1)^{m-1}2, \dots, (-1)^{m-1}2}_{k_m}].$$

The following theorem is a corollary of Theorem 3:

Theorem 4. If $k_j = 1$ or 2, $j = 1, \dots, m$, then $-1 < \text{Re}(\alpha)$.

Proof. At least one of $\varepsilon_{2i-1,2i}, \varepsilon_{2i,2i+1}$ in (6.2) is negative. So by (6.2)

$$\alpha_{2i} = \begin{cases} 3 & \text{if } \varepsilon_{2i-1} \neq \varepsilon_{2i+1} \\ 6 & \text{if } \varepsilon_{2i-1} = \varepsilon_{2i+1} \neq \varepsilon_{2i} \end{cases}$$

While

$$\beta_{2i} = \begin{cases} 0 & \text{if } \varepsilon_{2i} \neq \varepsilon_{2i+2} \\ -1 & \text{if } \varepsilon_{2i} = \varepsilon_{2i+2} \neq \varepsilon_{2i+1} \end{cases}$$

and similarly $\beta_{2i-2} = 0$ or -1 . So the conditions of Positivity Lemma are satisfied, which proves the inequality. \square

8. THEOREM 5: THE CASE $a_i = \pm c$

Theorem 5. Let $r_m = [2c, -2c, \dots, (-1)^{m-1}2c]$, $c > 0$, $m \geq 1$. Then all zeros of $\Delta_{K(r_m)}$ satisfy inequality:

$$\left(\frac{\sqrt{1+c^2}-1}{c}\right)^2 < \alpha < \left(\frac{\sqrt{1+c^2}+1}{c}\right)^2.$$

Proof. By (3.1) a Seifert matrix for $K(r_m)$ is

$$U = \begin{bmatrix} c & 0 & & & \\ -1 & -c & 1 & & \\ & 0 & c & 0 & \\ & & -1 & -c & 1 \\ & & & & \ddots \end{bmatrix}$$

Let $P_0(t) = 1$, $P_1(t) = c(t-1)$, $P_m(t) = (-1)^{[\frac{m}{2}]} \det(tU - U^T) =$

$$\begin{aligned} &= (-1)^{[\frac{m}{2}]} \det \begin{bmatrix} c(t-1) & 1 & & & \\ -t & c(-t+1) & t & & \\ & -1 & c(t-1) & 1 & \\ & & -t & c(-t+1) & t \\ & & & & \ddots \end{bmatrix} = \\ &= \det \begin{bmatrix} c(t-1) & 1 & & & \\ t & c(t-1) & -t & & \\ & -1 & c(t-1) & 1 & \\ & & t & c(t-1) & \\ & & & & \ddots \end{bmatrix} \end{aligned}$$

Then $P_m(t) = \pm \Delta_{K(r_m)}(t)$, and $P_m(t)$ satisfy a recurrence equation:

$$(8.1) \quad P_m(t) = c(t-1)P_{m-1}(t) - tP_{m-2}(t), \quad m \geq 2.$$

Since $K(r_{2m+1})$ is a 2-component link, we can write $P_{2m+1}(t) = (t-1)Q_{2m}(t)$. Note $Q_0(t) = c$. Then from (8.1) we have

$$(8.2) \quad P_{2m}(t) = c(t-1)^2Q_{2m-2}(t) - tP_{2m-2}(t).$$

Also,

$$\begin{aligned} P_{2m+1}(t) &= c(t-1)P_{2m}(t) - tP_{2m-1}(t) \implies \\ (t-1)Q_{2m}(t) &= c(t-1)P_{2m}(t) - t(t-1)Q_{2m-2}(t) \implies \\ (8.3) \quad Q_{2m}(t) &= cP_{2m}(t) - tQ_{2m-2}(t). \end{aligned}$$

Then (8.2) and (8.3) imply

$$t^{-m}P_{2m}(t) = t^{-m}c(t-1)^2Q_{2m-2}(t) - t^{-(m-1)}P_{2m-2}(t)$$

and

$$t^{-m}Q_{2m}(t) = ct^{-m}P_{2m}(t) - t^{-(m-1)}Q_{2m-2}(t).$$

Let $x = t + \frac{1}{t}$, and write $\phi_m(x) = t^{-m}P_{2m}(t)$, $\psi_m(x) = t^{-m}Q_{2m}(t)$. Then

$$(8.4) \quad \phi_m(x) = c(x-2)\psi_{m-1}(x) - \phi_{m-1}(x),$$

$$(8.5) \quad \psi_m(x) = c\phi_m(x) - \psi_{m-1}(x).$$

Note $\phi_0(x) = 1$, $\psi_0(x) = c$. Since (8.4) $\implies c(x-2)\psi_{m-1}(x) = \phi_m(x) + \phi_{m-1}(x)$, from (8.5) we see:

$$\begin{aligned} c(x-2)\psi_m(x) &= c^2(x-2)\phi_m(x) - c(x-2)\psi_{m-1}(x) \implies \\ \phi_{m+1}(x) + \phi_m(x) &= c^2(x-2)\phi_m(x) - (\phi_m(x) + \phi_{m-1}(x)) \implies \\ (8.6) \quad \phi_{m+1}(x) &= (c^2x - (2c^2 + 2))\phi_m(x) - \phi_{m-1}(x) \end{aligned}$$

Similarly, using (8.4) and (8.5), we have

$$(8.7) \quad \psi_m(x) = (c^2x - (2c^2 + 2))\psi_{m-1}(x) - \psi_{m-2}(x)$$

Let $y = c^2x - (2c^2 + 2)$. Write $\phi_m(x) = \lambda_m(y)$ and $\psi_m(x) = \mu_m(y)$. Then from (8.6) and (8.7) we have, for $m \geq 2$,

$$\begin{aligned} \lambda_m(y) &= y\lambda_{m-1}(y) - \lambda_{m-2}(y) \\ \mu_m(y) &= y\mu_{m-1}(y) - \mu_{m-2}(y), \end{aligned}$$

where $\lambda_0 = 1$, $\lambda_1 = y + 1$, $\lambda_2 = y^2 + y - 1$, $\mu_0 = c$, $\mu_1 = cy$, $\mu_2 = c(y^2 - 1)$. It is easy to see that for $m \geq 1$, $\lambda_m = \frac{1}{c}(\mu_m + \mu_{m-1})$. Now let $f_m(y)$ be a Fibonacci polynomial defined in [K]: $f_1(y) = 1$, $f_2(y) = y$ and for $m \geq 3$,

$$f_m(y) = yf_{m-1}(y) + f_{m-2}(y).$$

Then we can show by induction that for $m \geq 0$,

$$i^{-m}f_{m+1}(iy) = \frac{1}{c}\mu_m(y).$$

It is known (see [K], p.477) that the zeros of $f_{m+1}(y)$ are $y_k = 2i \cos \frac{k\pi}{m+1}$, $k = 1, 2, \dots, m$. Therefore, the zeros of $\mu_m(y)$ are

$$y_k^{(m)} = 2 \cos \frac{k\pi}{m+1}, \quad k = 1, 2, \dots, m.$$

Next we look at the zeros of $\lambda_m(y)$. Since $y_k^{(m-1)} = 2 \cos \frac{k\pi}{m}$, $k = 1, 2, \dots, m-1$, are all the zeros of $\mu_{m-1}(y)$, and for any k

$$(8.8) \quad y_{k+1}^{(m-1)} < y_{k+1}^{(m)} < y_k^{(m-1)} < y_k^{(m)},$$

there exists exactly one zero of $\mu_m(y)$ between neighboring two zeros of $\mu_{m-1}(y)$, and also there exists exactly one zero of $\mu_{m-1}(y)$ between neighboring two zeros of $\mu_m(y)$ (see Fig.3). By induction we check that

$$(8.9) \quad \mu_{2m}(-2) = (2m+1)c \quad \text{and} \quad \mu_{2m+1}(-2) = -(2m+2)c.$$

Now, the zeros of $\lambda_m(y)$ occur at the intersections of two curves $c_1 : z = (-1)^m \mu_m(y)$ and $c_2 : z = (-1)^{m-1} \mu_{m-1}(y)$. By (8.8) there are $m-1$ zeros in $(y_{m-1}^{(m)}, 2)$, and by (8.9) two curves intersect in $(-2, y_{m-1}^{(m)})$. Therefore there are exactly m real zeros in $(-2, 2)$. Since $y = c^2x - (2c^2 + 2)$, $x = \frac{y + (2c^2 + 2)}{c^2}$ and the zeros of $\phi_m(x)$ and $\psi_m(x)$ are in the interval $(2, 2 + \frac{4}{c^2})$, and hence all zeros of $P_{2m}(t)$ and $Q_{2m}(t)$ satisfy inequality:

$$\frac{1}{q} = \left(\frac{\sqrt{1+c^2}-1}{c} \right)^2 < \alpha < q = \left(\frac{\sqrt{1+c^2}+1}{c} \right)^2. \quad \square$$

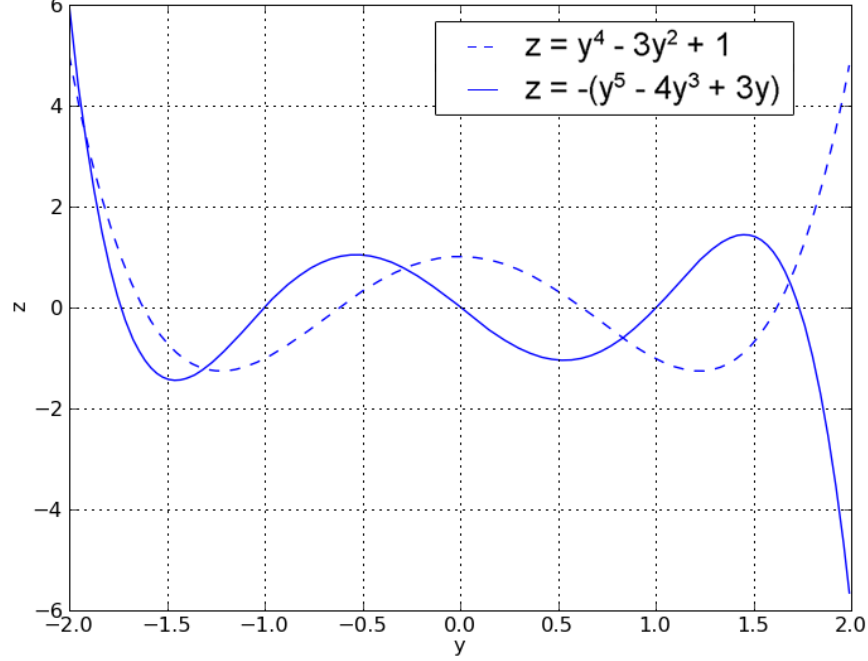


Figure 3.

Corollary. *If $c \rightarrow \infty$, then the zeros of $P_{2m}(t)$, $Q_{2m}(t)$, which are the zeros of Alexander polynomials, tend to 1.*

Remark 2. For $c = 1$ and large enough m we can find a zero α of $P_{2m}(t)$ arbitrarily close to $q = 3 + \sqrt{8}$. It is quite likely that $3 + \sqrt{8}$ is the upper bound of the real part of the zeros.

Proof. Since the zeros of $(-1)^{m-1}\mu_{m-1}(y)$ and $(-1)^m\mu_m(y)$ satisfy inequality $y_2^{(m)} < y_1^{(m-1)} < y_1^{(m)}$, there is a zero of λ_m greater than $y_2^{(m)}$, where $y_2^{(m)} = 2 \cos \frac{2\pi}{m+1}$. So there is a zero of $\phi_m(x)$ arbitrarily close to 6, hence a zero of $P_{2m}(t)$ arbitrarily close to $3 + \sqrt{8}$. \square

9. OPEN QUESTIONS

Let us finish with several open questions:

- 1) Is there an upper bound of the real part of zeros of the Alexander polynomials of general alternating knots? Recently Hirasawa observed(2010) that each of the following alternating 12 crossing knots $12a_{0125}$ and $12a_{1124}$ has a real zero, 6.90407... and 7.69853... respectively. Therefore an upper bound, if exists, is larger than 7.
- 2) Given m , does there exist an upper bound $q(m)$ of the real part of zeros of the Alexander polynomials of degree m of alternating knots?
- 3) Is there a version of Conjecture 1 for non-alternating knots?

Notice that Conjecture 1 does not hold for homogeneous knots (defined in [Cr]). Hirasawa showed (2010) that a non-alternating knot 10_{152} is a closure of a positive 3-braid and hence it is a homogeneous knot, but the Alexander polynomial has a real zero $\alpha = -1.85\dots$

4) Characterize alternating knots whose zeros of the Alexander polynomial are real. In particular, is the converse of Theorem 2 true for one component two-bridge knots?

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